

1 Company Details

Company Name		
Type of Entity (corporate, trust, etc)		
Full Operating Address		
	Postcode	
Contact Name		Tel No
Email Address		
Registered Number		
Registered Address (if different from above)		
	Postcode	
Names * of directors (or equivalent)		
Names * of principal beneficial owners (over 25%)		

* and dates of birth if known

2 Confirmation

I/We confirm that

- (a) the information in section 1 above was obtained by me/us in relation to the customer;
- (b) the evidence I/we have obtained to verify the identity of the customer:

(Tick only one)

meets the guidance for standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG;

or

exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

Signed :	
Name :	
Position :	
Date :	

3 Details of Introducing Firm (or Sole Trader)

Full Name of Regulated Firm (or Sole Trader)	
FCA Reference Number	

Explanatory Notes

1. "Relevant company registry" includes other registers, such as those maintained by charity commissions (or equivalent) or chambers of commerce.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.